



**Staffordshire and
Stoke-on-Trent**
Integrated Care Board

NHS Staffordshire and Stoke-on-Trent Integrated Care Board

CONSTITUTION

Version	Date approved by the ICB	Effective date
V1.0		1 July 2022
V1.1	18.08.2022	
V1.2	06.04.2023	

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1. Introduction

1.1 Background / Foreword

1.1.1 NHS England has set out the following as the four core purposes of ICSs:

- a) improve outcomes in population health and healthcare
- b) tackle inequalities in outcomes, experience and access
- c) enhance productivity and value for money
- d) help the NHS support broader social and economic development

1.1.2 The ICB will use its resources and powers to achieve demonstrable progress on these aims, collaborating to tackle complex challenges, including:

- improving the health of children and young people
- supporting people to stay well and independent
- acting sooner to help those with preventable conditions
- supporting those with long-term conditions or mental health issues
- caring for those with multiple needs as populations age
- getting the best from collective resources so people get care as quickly as possible

1.2 Name

1.2.1 The name of this Integrated Care Board is NHS Staffordshire and Stoke-on-Trent Integrated Care Board ('the ICB').

1.3 Area covered by the Integrated Care Board

1.3.1 The area covered by the ICB is the county of Staffordshire, including the city of Stoke-on-Trent. The ICB area covers: District of Cannock Chase, Borough of East Staffordshire, District of Lichfield, Borough of Newcastle-Under-Lyme, District of South Staffordshire, Borough of Stafford, District of Staffordshire Moorlands, City of Stoke-on-Trent, Borough of Tamworth.

1.4 Statutory Framework

1.4.1 The ICB is established by order made by NHS England under powers in the 2006 Act.

1.4.2 The ICB is a Statutory Body with the general function of arranging for the provision of services for the purposes of the health service in England and is an NHS Body for the purposes of the 2006 Act.

1.4.3 The main powers and duties of the ICB to commission certain health services are set out in sections 3 and 3A of the 2006 Act. These provisions are supplemented by other statutory powers and duties that apply to ICBs, as well as by regulations and directions (including, but not limited to, those made under the 2006 Act).

- 1.4.4 In accordance with section 14Z25(5) of, and paragraph 1 of Schedule 1B to, the 2006 Act, the ICB must have a Constitution, which must comply with the requirements set out in that Schedule. The ICB is required to publish its Constitution (section 14Z29). This Constitution is published at: <https://staffsstoke.icb.nhs.uk/your-nhs-integrated-care-board/governance/governance-handbook/>
- 1.4.5 The ICB must act in a way that is consistent with its statutory functions, both powers and duties. Many of these statutory functions are set out in the 2006 Act but there are also other specific pieces of legislation that apply to ICBs. Examples include, but are not limited to, the Equality Act 2010 and the Children Acts. Some of the statutory functions that apply to ICBs take the form of general statutory duties, which the ICB must comply with when exercising its functions. These duties include but are not limited to:
- a) having regard to and acting in a way that promotes the NHS Constitution (section 2 of the Health Act 2009 and section 14Z32 of the 2006 Act)
 - b) exercising its functions effectively, efficiently and economically (section 14Z33 of the 2006 Act)
 - c) duties in relation children including safeguarding, promoting welfare, etc (including the Children Acts 1989 and 2004, and the Children and Families Act 2014)
 - d) adult safeguarding and carers (the Care Act 2014)
 - e) equality, including the public sector equality duty (under the Equality Act 2010) and the duty as to health inequalities (section 14Z35)
 - f) information law (for instance, data protection laws, such as the UK General Data Protection Regulation 2016/679 and Data Protection Act 2018, and the Freedom of Information Act 2000)
 - g) provisions of the Civil Contingencies Act 2004
- 1.4.6 The ICB is subject to an annual assessment of its performance by NHS England, which is also required to publish a report containing a summary of the results of its assessment.
- 1.4.7 The performance assessment will assess how well the ICB has discharged its functions during that year and will, in particular, include an assessment of how well it has discharged its duties under:
- a) section 14Z34 (improvement in quality of services)
 - b) section 14Z35 (reducing inequalities)
 - c) section 14Z38 (obtaining appropriate advice)
 - d) section 14Z40 (duty in respect of research)
 - e) section 14Z43 (duty to have regard to effect of decisions)
 - f) section 14Z45 (public involvement and consultation)
 - g) sections 223GB to 223N (financial duties)
 - h) section 116B(1) of the Local Government and Public Involvement in Health Act 2007 (duty to have regard to assessments and strategies)
- 1.4.8 NHS England has powers to obtain information from the ICB (section 14Z60 of the 2006 Act) and to intervene where it is satisfied that the ICB is failing, or has

failed, to discharge any of its functions or that there is a significant risk that it will fail to do so (section 14Z61).

1.5 Status of this Constitution

- 1.5.1 The ICB was established on 1st July 2022 The Integrated Care Boards (Establishment) Order 2022', which made provision for its Constitution by reference to this document.
- 1.5.2 This Constitution must be reviewed and maintained in line with any agreements with, and requirements of, NHS England set out in writing at establishment.
- 1.5.3 Changes to this Constitution will not be implemented until, and are only effective from, the date of approval by NHS England.

1.6 Variation of this Constitution

- 1.6.1 In accordance with paragraph 15 of Schedule 1B to the 2006 Act, this Constitution may be varied in accordance with the procedure set out in this paragraph. The Constitution can only be varied in two circumstances:
 - a) where the ICB applies to NHS England in accordance with NHS England's published procedure and that application is approved
 - b) where NHS England varies the Constitution of its own initiative (other than on application by the ICB).
- 1.6.2 The procedure for proposal and agreement of variations to the Constitution is as follows:
 - a) proposals may be made in writing to the ICB Governance Lead from the following:
 - a member of the ICB Board
 - a committee or sub-committee of the ICB Board
 - a "Relevant Body" statutory partner, where acting under any delegated powers
 - an external regulatory or advisory body, including Auditors of the ICB

Any proposals to amend this Constitution will be formally notified to the ICB Board and Audit Committee via a formal change proposal discussion paper. This will act as a formal audit trail for the decision to amend being taken by the ICB Board. Unless circumstances require it, the decision to amend will be taken in public session.

This decision can only be taken once those named in 1.6.2(a) have been consulted on the proposed change (the persons ICB considers it appropriate to consult).

- b) proposed amendments to this Constitution will not be implemented until an application to NHS England for variation has been approved.

1.7 Related Documents

1.7.1 This Constitution is also supported by a number of documents that provide further details on how governance arrangements in the ICB will operate.

1.7.2 The following are appended to the Constitution and form part of it for the purpose of clause 1.6 and the ICB's legal duty to have a Constitution:

a) **Standing Orders** – which set out the arrangements and procedures to be used for meetings and the processes to appoint the ICB committees.

1.7.3 The following do not form part of the Constitution but are required to be published:

a) **Scheme of Reservation and Delegation (SoRD)** – sets out those decisions that are reserved to the Board of the ICB and those decisions that have been delegated in accordance with the powers of the ICB and which must be agreed in accordance with and be consistent with the Constitution. The SoRD identifies where, or to whom, functions and decisions have been delegated to.

b) **Functions and Decision Map** – a high level structural chart that sets out which key decisions are delegated and taken by which part or parts of the system. The Functions and Decision Map also includes decision-making responsibilities that are delegated to the ICB (e.g. from NHS England).

c) **Standing Financial Instructions** – which set out the arrangements for managing the ICB's financial affairs.

d) **The ICB Governance Handbook** – this brings together all the ICB's governance documents so it is easy for interested people to navigate. It includes:

- the above documents a) – c)
- Terms of Reference for all committees and sub-committees of the Board that exercise ICB functions
- delegation arrangements for all instances where ICB functions are delegated, in accordance with section 65Z5 of the 2006 Act, to another ICB, NHS England, an NHS Trust, NHS Foundation Trust, Local Authority, Combined Authority or any other prescribed body; or to a joint committee of the ICB and one of those organisations in accordance with section 65Z6 of the 2006 Act
- Terms of Reference of any joint committee of the ICB and another ICB, NHS England, an NHS Trust, NHS Foundation Trust, Local Authority, Combined Authority or any other prescribed body; or to a joint committee of the ICB and one or those organisations in accordance with section 65Z6 of the 2006 Act
- the up-to-date list of eligible providers of Primary Medical Services under clause 3.6.2
- an ICP overview – key documents, TORs, relationships etc
- the ICB Roles & Responsibilities (further details to Section 3 of this Constitution)

- the Statutory Functions and Duties of the ICB
 - our key Partnership Principles (ICS) for effective discharge of functions and decision-making including the main principles of our Partnership Compact:
 - Subsidiarity Arrangements // Shared Understanding // Co-Design & Co-Production // Timely Access to Data // Clear & Transparent Decision-Making
- e) **Key policy documents**, which should also be included in the Governance Handbook or linked to it – including:
- Standards of Business Conduct policy
 - Conflicts of Interest policy and procedures
 - policy for Public Involvement and Engagement

2. Composition of the Board of the ICB

2.1 Background

- 2.1.1 This part of the Constitution describes the membership of the ICB. Further information about the criteria for the roles and how they are appointed is in Section 3.
- 2.1.2 Further information about the individuals who fulfil these roles can be found on our website [add link].
- 2.1.3 In accordance with paragraph 3 of Schedule 1B to the 2006 Act, the membership of the ICB (referred to in this Constitution as ‘the Board’ and members of the ICB are referred to as ‘Board members’) consists of:
- a) a Chair
 - b) a Chief Executive
 - c) at least three Ordinary Members
- 2.1.4 The membership of the ICB (the Board) shall meet as a Unitary Board and shall be collectively accountable for the performance of the ICB’s functions.
- 2.1.5 NHS England policy requires the ICB to appoint the following additional Ordinary Members:
- a) three Executive Members, namely:
 - Director of Finance (Chief Finance Officer)
 - Medical Director (Chief Medical Officer)
 - Director of Nursing (Chief Nursing and Therapies Officer)
 - b) at least two Non-Executive members
- 2.1.6 The Ordinary Members include at least three members who will bring knowledge and a perspective from their sectors. These members (known as Partner Members) are nominated by the following, and appointed in accordance with the procedures set out in Section 3 below:

- NHS Trusts and Foundation Trusts (FTs) that provide services within the ICB's area and are of a prescribed description
- the Primary Medical Services (General Practice) providers within the area of the ICB and are of a prescribed description
- the Local Authorities that are responsible for providing Social Care and whose area coincides with or includes the whole or any part of the ICB's area

While the Partner Members will bring knowledge and experience from their sector and will contribute the perspective of their sector to the decisions of the Board, they are not to act as delegates of those sectors.

2.2 Board Membership

2.2.1 The ICB has seven Partner Members:

- a) two members from Local Authorities
- b) three members drawn from NHS Trusts & FTs
- c) two members drawn from Primary Medical Services (General Practice) providers

2.2.2 The ICB has also appointed the following further Ordinary Members to the Board:

- a) Locally agreed Executive and Non-Executive additional members:
 - three additional Non-Executive Directors: with broad Quality & Safety, Finance & Performance and People, Culture & Inclusion portfolios
 - two additional Executive Directors: Chief Delivery Officer and Chief Transformation Officer

2.2.3 The Board is therefore composed of the following members:

- a) a Chair
- b) a Chief Executive
- c) three Partner Members: NHS Trusts and FTs
- d) two Partner Members: Primary Medical Services
- e) two Partner Members: Local Authorities
- f) five Non-Executive Members
- g) Director of Finance (Chief Finance Officer)
- h) Medical Director (Chief Medical Officer)
- i) Director of Nursing (Chief Nursing and Therapies Officer)
- j) Chief Delivery Officer
- k) Chief Transformation Officer

2.2.4 The Chair will exercise their function to approve the appointment of the Ordinary Members with a view to ensuring that at least one of the Ordinary Members will have knowledge and experience in connection with services relating to the prevention, diagnosis and treatment of mental illness.

2.2.5 The Board will keep under review the skills, knowledge and experience that it considers necessary for members of the Board to possess (when taken together) for the Board effectively to carry out its functions and will take such steps as it considers necessary to address or mitigate any shortcoming.

2.3 Regular Participants and Observers at Board Meetings

2.3.1 The ICB Leadership will arrange for how the Board can be best served by drawing its members from a wide diversity of backgrounds and sectors, including considering how its composition reflects the diverse communities we serve.

2.3.2 To balance this, we must also consider the overall size of the Board, ensuring this has optimal size to reflect the above, yet allow for effective decision-making (as too many members is considered counter-productive to this).

2.3.3 The overall balance between the different types of members is also key, to ensure that no one individual or group dominates ICB decision-making.

2.3.4 The Board may invite specified individuals to be Participants or Observers at its meetings in order to inform its decision-making and the discharge of its functions as it sees fit.

2.3.5 Participants will receive advanced copies of the notice, agenda and papers for Board meetings. They may be invited to attend any or all of the Board's meetings, or part(s) of a meeting by the Chair. Any such person may be invited, at the discretion of the Chair to ask questions and address the meeting but may not vote. They will also be subject to the same business policies regarding decision-making (e.g. COI) as Ordinary Members.

a) Invited Participants:

- Board-level Senior Responsible Officer (SRO): for People
- Board-level Senior Responsible Officer (SRO): for Digital & Data
- Board-level Director of Corporate Governance as "Company Secretary"
- Board Advisors: e.g. Secretariat / other relevant associates co-opted as required to help ICB Board meetings progress effectively: ICB Communications and Governance Leads
- Healthwatch Staffordshire and Stoke-on-Trent
- Chairs of ICB committees (i.e. those outlined in the Functions & Decisions Map) where not an ICB Ordinary Member: e.g. NEDs from ICS partners who may be invited to chair such a meeting
- Participants from partner organisations shall be co-opted as desired or required to progress any relevant decisions of the Unitary Board

2.3.6 Observers will receive advanced copies of the notice, agenda and papers for Board meetings. They may be invited to attend any or all meetings, or part(s) of a meeting by the Chair. Any such person may not address the meeting and may not vote.

a) Observer Members:

- Governors or NEDs from ICS partner Provider Trusts – as integral to their role and responsibilities, and developing / managing local relationships, they need to be able to ensure and assure themselves of what is being undertaken to meet the needs of the communities they serve
- NEDs or Governors as observers will not be listed exhaustively. As each has the right as members of the public to attend open sessions of ICB Board

meetings; and in practice these individuals have no different status to members of the public

- 2.3.7 Participants and/or Observers may be asked to leave the meeting by the Chair in the event that the Board passes a resolution to exclude the public as per the Standing Orders.

3. Appointments Process for the Board

3.1 Eligibility Criteria for Board Membership

3.1.1 Each member of the ICB must:

- a) comply with the criteria of the 'Fit and Proper Person Test'
- b) be willing to uphold the Seven Principles of Public Life (known as the Nolan Principles)
- c) fulfil the requirements relating to relevant experience, knowledge, skills and attributes set out in a role specification

3.2 Disqualification Criteria for Board Membership

3.2.1 A Member of Parliament.

3.2.2 A person whose appointment as a Board member ('the candidate') is considered by the person making the appointment as one that could reasonably be regarded as undermining the independence of the health service because of the candidate's involvement with the private healthcare sector or otherwise.

3.2.3 A person who, within the period of five years immediately preceding the date of the proposed appointment, has been convicted:

- a) in the UK of any offence, or
- b) outside the UK of an offence which, if committed in any part of the UK, would constitute a criminal offence in that part, and, in either case, the final outcome of the proceedings was a sentence of imprisonment (whether suspended or not) for a period of not less than three months without the option of a fine

3.2.4 A person who is subject to a bankruptcy restrictions order or an interim bankruptcy restrictions order under Schedule 4A to the Insolvency Act 1986, Part 13 of the Bankruptcy (Scotland) Act 2016 or Schedule 2A to the Insolvency (Northern Ireland) Order 1989 (which relate to bankruptcy restrictions orders and undertakings).

3.2.5 A person who has been dismissed within the period of five years immediately preceding the date of the proposed appointment, otherwise than because of redundancy, from paid employment by any Health Service Body.

3.2.6 A person whose term of appointment as the Chair, a Member, a Director or a Governor of a Health Service Body has been terminated on the grounds:

- a) that it was not in the interests of, or conducive to the good management of, the Health Service Body or of the health service that the person should continue to hold that office
- b) that the person failed, without reasonable cause, to attend any meeting of that Health Service Body for three successive meetings
- c) that the person failed to declare a pecuniary interest or withdraw from consideration of any matter in respect of which that person had a pecuniary interest, or
- d) of misbehaviour, misconduct or failure to carry out the person's duties

3.2.7 A Healthcare Professional or other professional person who has at any time been subject to an investigation or proceedings, by any body that regulates or licenses the profession concerned ('the Regulatory Body'), in connection with the person's fitness to practise or any alleged fraud, the final outcome of which was:

- a) the person's suspension from a register held by the Regulatory Body, where that suspension has not been terminated
- b) the person's erasure from such a register, where the person has not been restored to the register
- c) a decision by the Regulatory Body that had the effect of preventing the person from practising the profession in question, where that decision has not been superseded, or
- d) a decision by the Regulatory Body that had the effect of imposing conditions on the person's practise of the profession in question, where those conditions have not been lifted

3.2.8 A person who is subject to:

- a) a Disqualification Order or disqualification undertaking under the Company Directors Disqualification Act 1986 or the Company Directors Disqualification (Northern Ireland) Order 2002, or
- b) an order made under section 429(2) of the Insolvency Act 1986 (disabilities on revocation of administration order against an individual)

3.2.9 A person who has at any time been removed from the office of charity trustee or trustee for a charity by an order made by the Charity Commissioners for England and Wales, the Charity Commission, the Charity Commission for Northern Ireland or the High Court, on the grounds of misconduct or mismanagement in the administration of the charity for which the person was responsible, to which the person was privy, or to which the person by their conduct contributed to or facilitated.

3.2.10 A person who has at any time been removed, or is suspended, from the management or control of any body under:

- a) section 7 of the Law Reform (Miscellaneous Provisions) (Scotland) Act 1990(f) (powers of the Court of Session to deal with the management of charities), or
- b) section 34(5) or of the Charities and Trustee Investment (Scotland) Act 2005 (powers of the Court of Session to deal with the management of charities)

3.3 Chair

3.3.1 The ICB Chair is to be appointed by NHS England, with the approval of the Secretary of State for Health and Social Care.

3.3.2 In addition to criteria specified at 3.1, this member must fulfil the following additional eligibility criteria:

- a) the Chair will be independent
- b) Local criteria:
 - the Chair will share being ICP Chair on a rotational basis with the two Local Authorities' Chief Executives also jointly convening the ICP
 - must possess the necessary qualifications, competency, skills and experience for the position as outlined in the Person Specification's "Essential" criteria

3.3.3 Individuals will not be eligible if:

- a) they hold a role in another health and care organisation within the ICB area
- b) any of the disqualification criteria set out in 3.2 apply
- c) Local criteria:
 - Not having spent significant time in a Board-level role in a complex, large-scale organisational context, either within the NHS and/or the broader Public Sector
 - Not meeting any of the "Essential" criteria listed in the Person Specification
 - Any person who falls within specific criteria set out in the local Recruitment Pack

3.3.4 The term of office for the Chair will be up to four (4) years and the total number of terms a Chair may serve is two terms.

3.4 Chief Executive

3.4.1 The Chief Executive will be appointed by the Chair of the ICB in accordance with any guidance issued by NHS England.

3.4.2 The appointment will be subject to approval of NHS England in accordance with any procedure published by NHS England.

3.4.3 The Chief Executive must fulfil the following additional eligibility criteria:

- a) be an employee of the ICB or a person seconded to the ICB who is employed in the Civil Service of the State or by a body referred to in paragraph 19(4)(b) of Schedule 1B to the 2006 Act
- b) Local Criteria:
 - Having spent significant time in a Board-level role in a complex, large-scale organisational context, either within the NHS and/or the broader Public Sector
 - Must be able to meet all requirements set out in the Person Specification

3.4.4 Individuals will not be eligible if:

- a) any of the disqualification criteria set out in 3.2 apply
- b) subject to clause 3.4.3a), they hold any other employment or executive role
- c) Local criteria:
 - Not meeting any of the “Essential” criteria listed in the Person Specification

3.5 Partner Members – NHS Trusts and Foundation Trusts (FTs)

3.5.1 These Partner Members are jointly nominated by the NHS Trusts and/or FTs that provide services for the purposes of the health service within the ICB’s area and meet the ‘Forward Plan’ condition or (if the ‘Forward Plan’ condition is not met) the level of services provided condition:

- a) the NHS Trusts and FTs provide which services within the ICB area:
 - Midlands Partnership University NHS Foundation Trust (mental health & community)
 - North Staffordshire Combined Healthcare NHS Trust (mental health & community)
 - University Hospitals of North Midlands NHS Trust (acute)
 - University Hospitals of Derby and Burton NHS Foundation Trust (acute)
 - West Midlands Ambulance Service NHS Foundation Trust (ambulance)

3.5.2 These members must fulfil the eligibility criteria set out at 3.1 and also the following additional eligibility criteria:

- a) be an Executive Director of one of the NHS Trusts or FTs within the ICB’s area
- b) any other criteria as may be set out in any NHS England guidance
- c) Local criteria:
 - must be able to demonstrate an understanding of and bring knowledge and a perspective from their sector, but not act as a delegate of that sector
 - must have extensive knowledge of their sector within the wider health, care and local government landscape and an understanding of the social determinants of public health, reducing health inequality, improving patient access, safety and being well-led
 - one shall have specific knowledge, skills and experience of the provision of Physical Health services
 - one shall have specific knowledge, skills and experience of the provision of Community Physical Health services
 - one shall have specific knowledge, skills and experience of the provision of Mental Health services

3.5.3 Individuals will not be eligible if:

- a) any of the disqualification criteria set out in 3.2 apply
- b) any exclusion criteria set out in NHS England guidance
- c) Local criteria:
 - anyone not a provider Chief Executive or Executive Director within the ICB’s area

- an unmanageable Conflict of Interest is evident, as determined by the Chair or ICB Appointment Panel, which results in the individual being unable to fulfil the role

3.5.4 These members will be appointed by an ICB Appointment Panel following the process outlined below, subject to the approval of the Chair.

3.5.5 The appointment process will be as follows:

a) Joint Nomination:

- When a vacancy arises, each eligible organisation listed at 3.5.1a) will be invited to make up to two nominations
- The nomination of an individual must be seconded by one other eligible organisation (when there are large numbers of nominating organisations)
- Eligible organisations may nominate individuals from their own organisation or another organisation
- All eligible organisations will be requested to confirm whether they jointly agree to nominate the whole list of nominated individuals, with a failure to confirm within five (5) working days being deemed to constitute agreement – if they do agree, the list will be put forward to step b) below; if they don't, the nomination process will be re-run until a consensus is reached on the nominations put forward

b) Assessment, Selection and Appointment, subject to approval of the Chair under c)

- The full list of nominees will be considered by a panel convened by the Chief Executive
- The panel will assess the suitability of the nominees against the requirements of the role (published before the nomination process is initiated) and will confirm that nominees meet the requirements set out in clauses 3.5.2 and 3.5.3
- In the event that there is more than one suitable nominee, the panel will select the most suitable for appointment, via a longlisting and/or shortlisting and as-required competitive interview process using the role description and eligibility criteria

c) Chair's Approval

- The Chair will determine whether to approve the appointment of the most suitable nominee as identified under b)

3.5.6 The term of office for these Partner Member will be two (2) years and the total number of terms they may serve is two (2) terms.

3.6 Partner Members – providers of Primary Medical Services

3.6.1 These Partner Members are jointly nominated by providers of Primary Medical Services for the purposes of the health service within the ICB's area, and that are Primary Medical Services contract holders responsible for the provision of essential services, within core hours to a list of registered persons for whom the ICB has core responsibility.

3.6.2 The list of relevant providers of Primary Medical Services for this purpose is published as part of the Governance Handbook. The list will be kept up to date but does not form part of this Constitution.

3.6.3 These members must fulfil the eligibility criteria set out at 3.1 and also the following additional eligibility criteria:

a) any other criteria set out by NHS England's guidance

b) Local criteria:

- These Partner Members will bring knowledge and a perspective from their sector, but not act as delegates of that sector
- must have extensive knowledge of their sector within the wider health, care and local government landscape and an understanding of the social determinants of public health, reducing health inequality, improving patient access, safety and being well-led
- GP nominations should be a registered General Practitioner; Practice Nurse nominations should be a registered Nurse; other professionals from the wider Primary Care Team should be registered with their relevant professional bodies
- must be sufficiently skilled and experienced to be an effective member of the Board; and have enough time to meet their Board responsibilities
- must be able to manage the duality of their role in the ICB and the sector from which they are drawn, including most critically, managing any Conflict of Interest arising

3.6.4 Individuals will not be eligible if:

a) any of the disqualification criteria set out in 3.2 apply

b) any criteria set out in NHS England guidance

c) Local criteria:

- anyone not a provider of Primary Medical Services falling predominantly within the ICB's area, in whole or principally part of, including where subject to past or ongoing disciplinary proceedings
- an unmanageable Conflict of Interest is evident, as determined by the Chair or ICB Appointment Panel, which results in the individual being unable to fulfil the role

3.6.5 These members will be appointed by an ICB Appointment Panel following the process outlined below, subject to the approval of the Chair.

3.6.6 The appointment process will be as follows:

a) *Joint Nomination:*

- When a vacancy arises, each eligible organisation listed at 3.6.1 will be invited to make up to one nomination
- The nomination of an individual must be seconded by one other eligible organisation (when there are large numbers of nominating organisations)
- Eligible organisations may nominate individuals from their own organisation or another organisation
- All eligible organisations will be requested to confirm whether they jointly agree to nominate the whole list of nominated individuals, with a failure to confirm within five (5) working days being deemed to constitute agreement –

if they do agree, the list will be put forward to step b) below; if they don't, the nomination process will be re-run until a consensus is reached on the nominations put forward

b) Assessment, Selection and Appointment, subject to approval of the Chair under c)

- The full list of nominees will be considered by a panel convened by the Chief Executive
- The panel will assess the suitability of the nominees against the requirements of the role (published before the nomination process is initiated) and will confirm that nominees meet the requirements set out in clauses 3.6.2 and 3.6.3
- In the event that there is more than two suitable nominees, the panel will select the most suitable for appointment, via a longlisting and/or shortlisting and as-required competitive interview process using the role description and eligibility criteria

c) Chair's Approval

- The Chair will determine whether to approve the appointment of the most suitable nominees as identified under b)

3.6.7 The term of office for these Partner Members is two (2) years and the total number of terms they may serve is two (2) terms.

3.7 Partner Members – Local Authorities

3.7.1 These Partner Members are jointly nominated by the Local Authorities whose areas coincide with, or include the whole or any part of, the ICB's area. Those Local Authorities are:

- Staffordshire County Council
- Stoke-on-Trent City Council

3.7.2 This member will fulfil the eligibility criteria set out at 3.1 and also the following additional eligibility criteria:

- a) be the Chief Executive or hold a relevant Executive level role of one of the bodies listed at 3.7.1
- b) any other criteria set out by NHS England's guidance
- c) Local criteria:
 - this Partner Member will bring knowledge and a perspective from their sector, but not act as a delegate of that sector
 - must possess the skills and experience necessary to be an effective member of the Board
 - must have extensive knowledge of their sector within the wider health, care and local government landscape and an understanding of the social determinants of public health, reducing health inequality, improving patient access, safety and being well-led
 - one shall have specific knowledge, skills and experience of Urban Local Authority services
 - one shall have specific knowledge, skills and experience of Rural Local Authority services

3.7.3 Individuals will not be eligible if:

- a) any of the disqualification criteria set out in 3.2 apply
- b) any criteria set out in NHS England guidance
- c) Local criteria:
 - any officer who is not a CEO or relevant Executive level role
 - an unmanageable Conflict of Interest is evident, as determined by the Chair or ICB Appointment Panel, which results in the individual being unable to fulfil the role

3.7.4 This member will be appointed by an ICB Appointment Panel following the process outlined below, subject to the approval of the Chair.

3.7.5 The appointment process will be as follows:

a) *Joint Nomination:*

- When a vacancy arises, each eligible organisation listed at 3.7.1 will be invited to make up to two nominations
- The nomination of an individual must be seconded by one other eligible organisation (when there are large numbers of nominating organisations)
- Eligible organisations may nominate individuals from their own organisation or another organisation
- All eligible organisations will be requested to confirm whether they jointly agree to nominate the whole list of nominated individuals, with a failure to confirm within five (5) working days being deemed to constitute agreement – if they do agree, the list will be put forward to step b) below; if they don't, the nomination process will be re-run until a consensus is reached on the nominations put forward

b) *Assessment, Selection and Appointment, subject to approval of the Chair under c)*

- The full list of nominees will be considered by a panel convened by the Chief Executive
- The panel will assess the suitability of the nominees against the requirements of the role (published before the nomination process is initiated) and will confirm that nominees meet the requirements set out in clauses 3.7.2 and 3.7.3
- In the event that there is more than one suitable nominee, the panel will select the most suitable for appointment, via a longlisting and/or shortlisting and as-required competitive interview process using the role description and eligibility criteria

c) *Chair's Approval*

- The Chair will determine whether to approve the appointment of the most suitable nominee as identified under b)

3.7.6 The term of office for these Partner Members will be two (2) years and the total number of terms they may serve is two (2) terms.

3.8 Medical Director (Chief Medical Officer)

3.8.1 This member will fulfil the eligibility criteria set out at 3.1 and also the following additional eligibility criteria:

- a) be an employee of the ICB or a person seconded to the ICB who is employed in the Civil Service of the State or by a body referred to in paragraph 19(4)(b) of Schedule 1B to the 2006 Act
- b) be a registered Medical Practitioner
- c) Local criteria:
 - be sufficiently experienced to support the development and delivery of the ICB's long-term plan, ensuring this reflects and integrates the strategies of all relevant partner organisations, with a particular focus on developing a shared clinical strategy
 - be sufficiently experienced to be accountable for all matters relating to the relevant professional colleagues across the clinical / care workforce employed by the ICB; and designated accountable officer for statutory and non-statutory functions that the ICB will need to perform as agreed with the CEO
 - meets the requirements as set out in the Person Specification

3.8.2 Individuals will not be eligible if:

- a) any of the disqualification criteria set out in 3.2 apply
- b) Local criteria:
 - not having spent significant time in an Executive, Board-level role in a complex, large-scale organisational context, either within the NHS or broader Public Sector
 - insufficient experience of leading across complex, regulated environments or insufficient track record of navigating the media / political stakeholders or delivering digital and workforce transformation at scale
 - not meeting any of the "Essential" criteria listed in the Person Specification

3.8.3 This member will be appointed by recruitment and selection processes conducted by ICS HR services and be subject to the approval of the Chair.

3.9 Director of Nursing (Chief Nursing & Therapies Officer)

3.9.1 This member will fulfil the eligibility criteria set out at 3.1 and also the following additional eligibility criteria:

- a) be an employee of the ICB or a person seconded to the ICB who is employed in the civil service of the State or by a body referred to in paragraph 19(4)(b) of Schedule 1B to the 2006 Act
- b) be a registered Nurse or Midwife
- c) Local criteria:
 - be sufficiently experienced to support the development and delivery of the ICB's long-term plan, ensuring this reflects and integrates the strategies of all relevant partner organisations, with a particular focus on developing a shared clinical strategy
 - be sufficiently experienced to be accountable for providing high quality clinical and professional leadership of the ICB's activities; and designated accountable officer for statutory and non-statutory functions that the ICB will need to perform as agreed with the CEO
 - meets the requirements as set out in the Person Specification

3.9.2 Individuals will not be eligible if:

- a) any of the disqualification criteria set out in 3.2 apply
- b) Local criteria:
 - not having spent significant time in an Executive, Board-level role in a complex, large-scale organisational context, either within the NHS or broader Public Sector
 - insufficient experience of leading across complex, regulated environments or insufficient track record of navigating the media / political stakeholders or delivering digital and workforce transformation at scale
 - not meeting any of the “Essential” criteria listed in the Person Specification

3.9.3 This member will be appointed by recruitment and selection processes conducted by ICS HR services and be subject to the approval of the Chair.

3.10 Director of Finance (Chief Finance Officer)

3.10.1 This member will fulfil the eligibility criteria set out at 3.1 and also the following additional eligibility criteria:

- a) be an employee of the ICB or a person seconded to the ICB who is employed in the civil service of the State or by a body referred to in paragraph 19(4)(b) of Schedule 1B to the 2006 Act
- b) Local criteria:
 - be a qualified accountant with full membership and evidence of up-to-date continuing professional development; including desirably experience of utilising health economics experience in a relevant role / setting and/or a relevant qualification
 - be sufficiently experienced to support the production and delivery of a five-year ICB finance plan and finance strategy with the key aim to produce a financial and resources strategy aimed at improving clinical outcomes, better life outcomes and reducing health inequalities, including the interpretation and implementation of the NHS Long Term Plan, contracting and performance standards and other national strategic priorities
 - be sufficiently experienced to engage with key system leaders to collectively provide ICS leadership for the finance profession within health and care; taking the role of a system leader to promote and lead data quality driven improvements which have a direct impact on the population health needs of the ICS
 - meets the requirements as set out in the Person Specification

3.10.2 Individuals will not be eligible if:

- a) any of the disqualification criteria set out in 3.2 apply
- b) Local criteria:
 - not having spent significant time in an Executive, Board-level role in a complex, large-scale organisational context, either within the NHS or broader Public Sector
 - insufficient experience of leading across complex, regulated environments or insufficient track record of navigating the media / political stakeholders or delivering digital and workforce transformation at scale
 - not meeting any of the “Essential” criteria listed in the Person Specification

3.10.3 This member will be appointed by recruitment and selection processes conducted by ICS HR services and be subject to the approval of the Chair.

3.11 Five Non-Executive Director (NED) Members

3.11.1 The ICB will appoint five Non-Executive Director (NED) Members. One of whom will be nominated as Vice-Chair by the Chair. Another will be appointed as a 'Senior Independent Non-Executive Member', to take a role in appraisal of the Chair. This role could not be fulfilled by the Chair or Chair of Audit Committee.

3.11.2 These members will be appointed by recruitment and selection processes conducted by ICS HR services and be subject to the approval of the Chair.

3.11.3 These members will fulfil the eligibility criteria set out at 3.1 and also the following additional eligibility criteria:

- a) not be employee of the ICB or a person seconded to the ICB
- b) not hold a role in another health and care organisation in the ICS area
- c) one shall have specific knowledge, skills and experience that makes them suitable for appointment to the Chair of the Audit Committee
- d) another should have specific knowledge, skills and experience that makes them suitable for appointment to the Chair of the Remuneration Committee
- e) Local criteria:
 - in addition to the specific responsibilities below, there will be further lead responsibilities including Transformation, Integration, Primary Care Commissioning and Planning, which will be agreed when appointments are made and reflect individual areas of expertise or interest
 - it is expected that all NED Members will have experience in a NED role in Health and Social Care
 - additional requirements for the NED Members who will be the Chair of Remuneration Committee / Chair of Audit Committee / Chair of Quality & Safety Committee / Chair of Finance & Performance Committee / Chair of People, Culture & Inclusion Committee will be set within the Role Specifications

3.11.4 Individuals will not be eligible if:

- a) any of the disqualification criteria set out in 3.2 apply
- b) they hold a role in another health and care organisation within the ICB area
- c) Local criteria:
 - independent NED Members will be expected to display the highest standards of inclusive behaviour and are adhering to the competencies expected of them
 - an unmanageable Conflict of Interest is evident, as determined by the Chair or the ICB appointment panel, which results in the individual being unable to fulfil the role

3.11.5 The term of office for an Independent NED Member will be three (3) years for all and the total number of terms an individual may serve is two (2) terms; after which they will no longer be eligible for re-appointment.

3.11.6 Initial appointments may be for a shorter period to avoid all NED Members retiring at once. Thereafter, new appointees will ordinarily retire on the date that the individual they replaced was due to retire, to provide continuity.

3.11.7 Subject to satisfactory appraisal, the Chair may approve the re-appointment of a NED Member up to the maximum number of terms permitted for their role.

3.12 Other Board Members

3.12.1 Local completion of all details for any/all Other Members is required.

3.12.2 These members will be appointed by recruitment and selection processes conducted by ICS HR services and be subject to the approval of the Chair. These members will fulfil the eligibility criteria set out at 3.1 and also the following additional criteria:

Chief Transformation Officer and Chief Delivery Officer:

- a) be an employee of the ICB – this does not exclude them from also being an employee of another organisation within the ICS or holding a position in another ICB (although such appointments would be subject to individual consideration of conflicts of interest); or a person seconded to the ICB employed in the Civil Service of the State or by a Body referred to in paragraph 18(4)(b) of Schedule 1B to the 2006 Act
- b) be sufficiently experienced to support the production and delivery of ICB / ICP strategic plans and aligned strategies with the key aim to transform local health and care service that improve clinical outcomes, better life outcomes and reduce health inequalities, including the interpretation and implementation of the NHS Long Term Plan, contracting and performance standards and other national strategic priorities
- c) be sufficiently experienced to engage with key system leaders to collectively provide ICS leadership within health and care; taking the role of a system leader to promote and lead data quality driven improvements which have a direct impact on the population health needs of the ICS
- d) will meet the requirements as set out in the Person Specification
- e) individuals will not be eligible if:
 - any of the disqualification criteria set out in 3.2 apply
 - not having spent significant time in a Board-level role in a complex, large-scale organisational context, either within the NHS or broader Public Sector
 - insufficient experience of leading across complex, regulated environments or insufficient track record of navigating the media / political stakeholders or delivering transformation at scale
 - not meeting any of the “Essential” criteria listed in the Person Specifications

3.13 Board Members: Removal from Office

3.13.1 Arrangements for the removal from office of Board members is subject to the term of appointment and application of the relevant ICB policies and procedures.

3.13.2 With the exception of the Chair, Board members shall be removed from office if any of the following occur:

- a) if they no longer fulfil the requirements of their role or become ineligible for their role as set out in this Constitution, regulations or guidance
- b) Local criteria:
 - if they or their nominated deputies fail to attend a minimum of 75% of the meetings to which they are invited, unless agreed with the Chair in extenuating circumstances
 - if deemed not to have met the expected standards of performance at annual appraisal
 - if having behaved in a manner or exhibited conduct which has or is likely to be detrimental to the honour and interest of the ICB and is likely to bring it into disrepute: this includes but is not limited to dishonesty; misrepresentation (either knowingly or fraudulently); defamation of any member of the ICS (slander or libel); abuse of position; non-declaration of a known Conflict of Interest; seeking to manipulate a decision of the ICB in a manner that would ultimately be in favour of that member, whether financially or otherwise
 - are deemed to have failed to uphold the Nolan Principles of Public Life
 - are subject to disciplinary proceedings by a Regulator or Professional Body

3.13.3 Members may be suspended pending the outcome of an investigation into whether any of the matters in 3.13.2 apply.

3.13.4 Executive Directors (including the Chief Executive) will cease to be Board members if their employment in their specified role ceases, regardless of the reason for termination of the employment.

3.13.5 The Chair of the ICB may be removed by NHS England, subject to the approval of the Secretary of State for Health and Social Care.

3.13.6 If NHS England is satisfied that the ICB is failing or has failed to discharge any of its functions or that there is a significant risk that the ICB will fail to do so, it may:

- a) terminate the appointment of the ICB's Chief Executive, and
- b) direct the Chair of the ICB as to which individual to appoint as a replacement and on what terms

3.14 Terms of Appointment of Board Members

3.14.1 With the exception of the Chair and Non-Executive Members, arrangements for remuneration and any allowances will be agreed by the Remuneration Committee in line with the ICB remuneration policy and any other relevant policies published at <https://staffsstoke.icb.nhs.uk/your-nhs-integrated-care-board/governance/governance-handbook/> and any guidance issued by NHS England or other relevant body. Remuneration for Chairs will be set by NHS England.

3.14.2 Remuneration for Non-Executive Members will be set by ICB Remuneration Committee, comprised solely of non-conflicted members added as further members to the committee such that the conflicted individuals could recuse themselves appropriately.

3.14.3 Other terms of appointment will be determined by the Remuneration Committee.

3.14.4 Terms of appointment of the Chair will be determined by NHS England.

3.15 Specific Arrangements for appointment of Ordinary Members made at Establishment

- 3.15.1 Individuals may be identified as 'designate Ordinary Members' prior to the ICB being established.
- 3.15.2 Relevant nomination procedures for Partner Members in advance of establishment are deemed to be valid so long as they are undertaken in full and in accordance with the provisions of 3.5 to 3.7.
- 3.15.3 Any appointment and assessment processes undertaken in advance of establishment to identify designate Ordinary Members should follow, as far as possible, the processes set out in sections 3.5 to 3.12 of this Constitution. However, a modified process, agreed by the Chair, will be considered valid.
- 3.15.4 On the day of establishment, a committee consisting of the Chair, Chief Executive and one other will appoint the Ordinary Members who are expected to all be individuals who have been identified as designate appointees prior to ICB establishment and the Chair will approve those appointments.
- 3.15.5 For the avoidance of doubt, this clause is valid only in relation to the appointments of the initial Ordinary Members and all appointments post-establishment will be made in accordance with clauses 3.5 to 3.12

4. Arrangements for the Exercise of our Functions

4.1 Good Governance

- 4.1.1 The ICB will, at all times, observe generally accepted principles of good governance. This includes the Seven Principles of Public Life (the Nolan Principles) and any governance guidance issued by NHS England.
- 4.1.2 The ICB has agreed a Code of Conduct and Behaviours which sets out the expected behaviours that members of the Board and its committees will uphold while undertaking ICB business. It also includes a set of principles that will guide decision-making in the ICB. The ICB Code of Conduct and Behaviours is published in the Governance Handbook.

4.2 General

- 4.2.1 The ICB will:
- a) comply with all relevant laws including but not limited to the 2006 Act and the duties prescribed within it and any relevant regulations
 - b) comply with directions issued by the Secretary of State for Health and Social Care
 - c) comply with directions issued by NHS England
 - d) have regard to statutory guidance including that issued by NHS England

- e) take account, as appropriate, of other documents, advice and guidance issued by relevant authorities, including that issued by NHS England
- f) respond to reports and recommendations made by local Healthwatch organisations within the ICB area

4.2.2 The ICB will develop and implement the necessary systems and processes to comply with a)–f) above, documenting them as necessary in this Constitution, its Governance Handbook and other relevant policies and procedures as appropriate.

4.3 Authority to Act

4.3.1 The ICB is accountable for exercising its statutory functions and may grant authority to act on its behalf to:

- a) any of its members or employees
- b) a committee or sub-committee of the ICB

4.3.2 Under section 65Z5 of the 2006 Act, the ICB may arrange with another ICB, an NHS Trust, NHS Foundation Trust, NHS England, a Local Authority, Combined Authority or any other body prescribed in Regulations, for the ICB's functions to be exercised by or jointly with that other body or for the functions of that other body to be exercised by or jointly with the ICB. Where the ICB and other body enters such arrangements, they may also arrange for the functions in question to be exercised by a joint committee of theirs and/or for the establishment of a pooled fund to fund those functions (section 65Z6). In addition, under section 75 of the 2006 Act, the ICB may enter partnership arrangements with a Local Authority under which the authority exercises specified ICB functions or the ICB exercises specified Local Authority functions, or the ICB and Local Authority establish a pooled fund.

4.3.3 Where arrangements are made under section 65Z5 or section 75 of the 2006 Act, the Board must authorise the arrangement, which must be described as appropriate in the SoRD.

4.4 Scheme of Reservation and Delegation

4.4.1 The ICB has agreed a Scheme of Reservation and Delegation (SoRD), which is published in full at <https://staffsstoke.icb.nhs.uk/your-nhs-integrated-care-board/governance/governance-handbook/>.

4.4.2 Only the Board may agree the SoRD and amendments to the SoRD may only be approved by the Board.

4.4.3 The SoRD sets out:

- a) those functions that are reserved to the Board
- b) those functions that have been delegated to an individual or to committees and sub-committees
- c) those functions delegated to another body or to be exercised jointly with another body, under section 65Z5 and 65Z6 of the 2006 Act

4.4.4 The ICB remains accountable for all of its functions, including those that it has delegated. All those with delegated authority are accountable to the Board for the exercise of their delegated functions.

4.5 Functions and Decision Map

4.5.1 The ICB has prepared a Functions and Decision Map that sets out at a high level its key functions and how it exercises them in accordance with the SoRD.

4.5.2 The Functions and Decision Map is published at <https://staffsstoke.icb.nhs.uk/your-nhs-integrated-care-board/governance/governance-handbook/>.

4.5.3 The map includes:

- a) key functions reserved to the Board of the ICB
- b) commissioning functions delegated to committees and individuals
- c) commissioning functions delegated under section 65Z5 and 65Z6 of the 2006 Act to be exercised by, or with, another ICB, an NHS Trust, NHS Foundation Trust, Local Authority, Combined Authority or any other prescribed body
- d) functions delegated to the ICB (e.g. from NHS England)

4.6 Committees and Sub-Committees

4.6.1 The ICB may appoint committees and arrange for its functions to be exercised by such committees. Each committee may appoint sub-committees and arrange for the functions exercisable by the committee to be exercised by those sub-committees.

4.6.2 All committees and sub-committees are listed in the SoRD.

4.6.3 Each committee and sub-committee established by the ICB operates under Terms of Reference agreed by the Board. All Terms of Reference are published in the Governance Handbook.

4.6.4 The Board remains accountable for all functions, including those that it has delegated to committees and sub-committees and, therefore, appropriate reporting and assurance arrangements are in place and documented in the Terms of Reference. All committees and sub-committees that fulfil delegated functions of the ICB, will be required to:

- a) local arrangements for assurance:
 - where a Delegation Agreement is in force, it will specify the arrangements for exercising the delegated functions, and shall comply with the Standing Orders or specified alternative arrangements
 - arrangements shall also require regular (e.g. monthly) Assurance Reports from committees or delegated-to 'Relevant Bodies' to be submitted to and considered by the Board in public sessions: including where non-Ordinary Members chair an ICB committee, to have them as a Participating Member to provide this
 - local Terms of Reference (TORs) shall require commensurate attendance requirements as per section 3.13.2(b) at delegated-to committee meetings of all committee members in line with Standing Orders

- delegated-to committees, Relevant Bodies or relevant persons shall demonstrate compliance with Internal Audit findings and Committee Effectiveness reviews
- committee and sub-committee TORs including membership arrangements should always be approved by the Board (or by the parent committee for sub-committees when the Board has delegated the power to establish these) and must always be aligned with the ICB's SoRD

4.6.5 Any committee or sub-committee established in accordance with clause 4.6 may consist of, or include, persons who are not ICB members or employees.

4.6.6 All members of committees and sub-committees that exercise the ICB commissioning functions will be approved by the Chair. The Chair will not approve an individual to such a committee or sub-committee if they consider that the appointment could reasonably be regarded as undermining the independence of the health service because of the candidate's involvement with the private healthcare sector or otherwise

4.6.7 All members of committees and sub-committees are required to act in accordance with this constitution, including the Standing Orders as well as the Standing Financial Instructions and any other relevant ICB policy.

4.6.8 The following committees will be maintained:

- Audit Committee:** this committee is accountable to the Board and provides an independent and objective view of the ICB's compliance with its statutory responsibilities. The committee is responsible for arranging appropriate internal and external audit. It will be chaired by a Non-Executive Member (other than the Chair of the ICB) who has the qualifications, expertise or experience to enable them to express credible opinions on finance and audit matters
- Remuneration Committee:** this committee is accountable to the Board for matters relating to remuneration, fees and other allowances (including pension schemes) for employees and other individuals who provide services to the ICB. It will be chaired by a Non-executive Member other than the Chair or the Chair of Audit Committee

4.6.9 The Terms of Reference for each of the above committees are published in the Governance Handbook.

4.6.10 The Board has also established a number of other committees to assist it with the discharge of its functions. These committees are set out in the SoRD and further information about these committees, including terms of reference, are published in the Governance Handbook.

4.7 Delegations made under Section 65Z5 of the 2006 Act

4.7.1 As per 4.3.2 the ICB may arrange for any functions exercisable by it to be exercised by or jointly with any one or more other relevant bodies (another ICB, NHS England, an NHS Trust, NHS FT, Local Authority, Combined Authority or any other prescribed body).

- 4.7.2 All delegations made under these arrangements are set out in the ICB Scheme of Reservation and Delegation and included in the Functions and Decision Map.
- 4.7.3 Each delegation made under section 65Z5 of the Act will be set out in a delegation arrangement that sets out the terms of the delegation. This may, for joint arrangements, include establishing and maintaining a pooled fund. The power to approve delegation arrangements made under this provision will be reserved to the Board.
- 4.7.4 The Board remains accountable for all the ICB's functions, including those that it has delegated and, therefore, appropriate reporting and assurance mechanisms are in place as part of agreeing terms of a delegation and these are detailed in the delegation arrangements, summaries of which will be published in the Governance Handbook.
- 4.7.5 In addition to any formal joint working mechanisms, the ICB may enter into strategic or other transformation discussions with its partner organisations on an informal basis.

5. Procedures for Making Decisions

5.1 Standing Orders

- 5.1.1 The ICB has agreed a set of Standing Orders which describe the processes that are employed to undertake its business. They include procedures for:
- conducting the business of the ICB
 - the procedures to be followed during meetings
 - the process to delegate functions
- 5.1.2 The Standing Orders apply to all committees and sub-committees of the ICB unless specified otherwise in the Terms of Reference that have been agreed by the Board.
- 5.1.3 A full copy of the Standing Orders is included in Appendix 2 and form part of this Constitution.

5.2 Standing Financial Instructions

- 5.2.1 The ICB has agreed a set of Standing Financial Instructions (SFIs), which include the delegated limits of financial authority set out in the SoRD.
- 5.2.2 A copy of the SFIs is published in the Governance Handbook.

6. Arrangements for Conflict of Interest Management and Standards of Business Conduct

6.1 Conflicts of Interest

- 6.1.1 As required by section 14Z30 of the 2006 Act, the ICB has made arrangements to manage any actual and potential Conflicts of Interest to ensure that decisions made by the ICB will be taken and seen to be taken without being unduly influenced by external or private interest and do not (and do not risk appearing to) affect the integrity of the ICB's decision-making processes.
- 6.1.2 The ICB has agreed policies and procedures for the identification and management of Conflicts of Interest which are published on the website.
- 6.1.3 All Board, committee and sub-committee members, and employees of the ICB, will comply with the ICB policy on Conflicts of Interest in line with their terms of office and/or employment. This will include but not be limited to declaring all interests on a register that will be maintained by the ICB.
- 6.1.4 All delegation arrangements made by the ICB under section 65Z5 of the 2006 Act will include a requirement for transparent identification and management of interests and any potential conflicts in accordance with suitable policies and procedures comparable with those of the ICB.
- 6.1.5 Where an individual, including any individual directly involved with the business or decision-making of the ICB and not otherwise covered by one of the categories above, has an interest, or becomes aware of an interest that could lead to a conflict of interests in the event of the ICB considering an action or decision in relation to that interest, that must be considered as a potential conflict, and is subject to the provisions of this Constitution, the Conflicts of Interest Policy and the Standards of Business Conduct Policy.
- 6.1.6 The ICB has appointed the Audit Chair to be the Conflicts of Interest Guardian. In collaboration with the ICB's governance lead, their role is to:
 - a) act as a conduit for members of the public and members of the partnership who have any concerns with regards to Conflicts of Interest
 - b) be a safe point of contact for employees or workers to raise any concerns in relation to Conflicts of Interest
 - c) support the rigorous application of Conflicts of Interest principles and policies
 - d) provide independent advice and judgement to staff and members where there is any doubt about how to apply Conflicts of Interest policies and principles in an individual situation
 - e) provide advice on minimising the risks of Conflicts of Interest

6.2 Principles

- 6.2.1 In discharging its functions the ICB will abide by the following principles:

- a) ICB decision-making must be geared towards meeting the statutory duties at all times, including the 'Triple Aim' – any individual involved in decisions relating to ICB functions must be acting clearly in the interests of the ICB / the public, rather than furthering direct or indirect financial, personal, professional or organisational interests
- b) Partner Members will be expected to act in accordance with the first principle, and it should not be assumed that they are personally or professionally conflicted just by virtue of being an employee, director, partner or otherwise holding a position with one of the nominating organisations
- c) the personal and professional interests of all Board / committee members and ICB staff who are involved in decision-taking need to be declared, recorded and managed appropriately; contributing to a culture of transparency about how decisions are made
- d) declarations must be made promptly and be clear and specific about the nature of any interest that may arise regarding a particular decision
- e) actions to mitigate conflicts will be proportionate and seek to preserve the spirit of collective decision-making wherever possible – mitigation will take account of a range of factors, including the impact that the perception of an unsound decision might have, and the risks / benefits of having a particular individual involved in making the decision
- f) we will clearly distinguish between those individuals who should be involved in formal decision-taking and those whose input informs decisions, including shaping the ICB's understanding of how best to meet patients' needs and deliver care for our population
- g) the way conflicts are managed will reflect this distinction: e.g. where independent providers (including VCSE sector) hold contracts for services, it would be appropriate and reasonable to involve them in discussions about pathway design / service delivery, particularly at Place; however this will clearly be distinct from any considerations around contracting and commissioning from which they would be excluded
- h) where decisions are being taken as part of a formal competitive procurement of services, any individual who is associated with an organisation that has a vested interest in the procurement should recuse themselves from the process

6.3 Declaring and Registering Interests

6.3.1 The ICB maintains registers of the interests of:

- a) Members of the ICB
- b) Members of the Board's committees and sub-committees
- c) its employees

6.3.2 In accordance with section 14Z30(2) of the 2006 Act, registers of interest are published on the ICB website at <https://staffsstoke.icb.nhs.uk/your-nhs-integrated-care-board/governance/governance-handbook/>.

6.3.3 All relevant persons as per 6.1.3 and 6.1.5 must declare any conflict or potential conflict of interest relating to decisions to be made in the exercise of the ICB's commissioning functions.

- 6.3.4 Declarations should be made as soon as reasonably practicable after the person becomes aware of the conflict or potential conflict and in any event within 28 days. This could include interests an individual is pursuing. Interests will also be declared on appointment and during relevant discussion in meetings.
- 6.3.5 All declarations will be entered in the registers as per 6.3.1.
- 6.3.6 The ICB will ensure that, as a matter of course, declarations of interest are made and confirmed, or updated at least annually.
- 6.3.7 Interests (including gifts and hospitality) of decision-making staff will remain on the public register for a minimum of six months. In addition, the ICB will retain a record of historical interests and offers/receipt of gifts and hospitality for a minimum of six years after the date on which it expired. The ICB's published register of interests states that historical interests are retained by the ICB for the specified timeframe and details who to contact to submit a request for this information.
- 6.3.8 Activities funded in whole or in part by third parties who may have an interest in ICB business such as sponsored events, posts and research will be managed in accordance with the ICB policy to ensure transparency and that any potential for conflicts of interest are well-managed.

6.4 Standards of Business Conduct

- 6.4.1 Board members, employees, committee and sub-committee members of the ICB will at all times comply with this Constitution and be aware of their responsibilities as outlined in it. They should:
- a) act in good faith and in the interests of the ICB
 - b) follow the Seven Principles of Public Life; set out by the Committee on Standards in Public Life (the Nolan Principles)
 - c) comply with the ICB's Standards of Business Conduct Policy, and any requirements set out in the policy for managing Conflicts of Interest
- 6.4.2 Individuals contracted to work on behalf of the ICB or otherwise providing services or facilities to the ICB will be made aware of their obligation to declare conflicts or potential conflicts of interest. This requirement will be written into their contract for services and is also outlined in the ICB's Standards of Business Conduct Policy.

7. Arrangements for ensuring Accountability and Transparency

- 7.1.1 The ICB will demonstrate its accountability to local people, stakeholders and NHS England in a number of ways, including by upholding the requirement for transparency in accordance with paragraph 12(2) of Schedule 1B to the 2006 Act.

7.2 Principles

7.2.1 Local principles:

- Health and Wellbeing are everyone's business – engagement needs to be inclusive and accessible to all
- Put the public voice at the heart of decision-making
- Don't make assumptions – ask how best to engage
- Recognise the different needs of the population, especially those who could be excluded
- Do it once and do it well – shared intelligence between partners
- Allow enough time to engage properly, adapting the approach where necessary
- Be honest, open and transparent – authentic involvement
- Clear communication that can be understood by all – be clear on what you are asking and consider your audience
- Commit to feedback – explain what impact engagement has made in simple terms
- Build on what is already there – utilise existing knowledge, relationships, experience and local assets / channels, including the community and voluntary sector

7.3 Meetings and Publications

7.3.1 Board meetings, and committees composed entirely of Board members or that include all Board members, will be held in public except where a resolution is agreed to exclude the public on the grounds that it is believed not to be in the public interest.

7.3.2 Papers and minutes of all meetings held in public will be published.

7.3.3 Annual accounts will be externally audited and published.

7.3.4 A clear complaints process will be published.

7.3.5 The ICB will comply with the Freedom of Information Act 2000 and with the Information Commissioner's Office requirements regarding the publication of information relating to the ICB.

7.3.6 Information will be provided to NHS England as required.

7.3.7 The Constitution and Governance Handbook will be published as well as other key documents including but not limited to:

- Conflicts of Interest Policy and procedures
- registers of interests
- key corporate / business policies and further, related documents as set out in Section 1.7.3d)

7.3.8 The ICB will publish, with its partner NHS Trusts and NHS Foundation Trusts, a plan at the start of each financial year that sets out how the ICB proposes to

exercise its functions during the next five years. The plan will explain how the ICB proposes to discharge its duties under:

- sections 14Z34 to 14Z45 (general duties of ICBs), and
 - sections 223GB and 223N (financial duties), and
- a) proposed steps to implement Staffordshire and Stoke-on-Trent Joint Health and Wellbeing Strategies

7.4 Scrutiny and Decision-Making

7.4.1 At least three Non-Executive Members will be appointed to the Board, including the Chair; and all Board and committee members will comply with the Seven Principles of Public Life (the Nolan Principles) and meet the criteria described in the “Fit and Proper Person Test”.

7.4.2 Healthcare services will be arranged in a transparent way, and decisions around who provides services will be made in the best interests of patients, taxpayers and the population, in line with the rules set out in the NHS Provider Selection Regime.

7.4.3 The ICB will comply with the requirements of the NHS Provider Selection Regime, including complying with existing procurement rules until the provider selection regime comes into effect.

7.4.4 The ICB will comply with Local Authority Health Overview and Scrutiny requirements.

7.5 Annual Report

7.5.1 The ICB will publish an Annual Report in accordance with any guidance published by NHS England; and that sets out how it has discharged its functions and fulfilled its duties in the previous financial year. An annual report must in particular:

- a) explain how the ICB has discharged its duties under section 14Z34 to 14Z45 and 14Z49 (general duties of ICBs)
- b) review the extent to which the ICB has exercised its functions in accordance with the plans published under section 14Z52 (‘Forward Plan’) and section 14Z56 (Capital Resource Use Plan)
- c) review the extent to which the ICB has exercised its functions consistently with NHS England’s views set out in the latest statement published under section 13SA(1) (views about how functions relating to inequalities information should be exercised), and
- d) review any steps that the ICB has taken to implement Joint local Health and Wellbeing Strategies to which it was required to have regard under section 116B(1) of the Local Government and Public Involvement in Health Act 2007

8. Arrangements for Determining the Terms and Conditions of Employees

- 8.1.1 The ICB may appoint employees, pay them remuneration and allowances as it determines, and appoint staff on such terms and conditions as it determines.
- 8.1.2 The Board has established a Remuneration Committee, which is chaired by a Non-Executive Member other than the Chair or Audit Chair.
- 8.1.3 The membership of the Remuneration Committee is determined by the Board. No employees may be a member of the Remuneration Committee, but the Board ensures that the Remuneration Committee has access to appropriate advice by:
- a) Local arrangements:
- only members have the right to attend meetings, but the Chair may invite relevant staff to the meeting as necessary in accordance with the business of the committee
 - meetings may also be attended by the following individuals who are not members; for all or part of a meeting as and when appropriate: such attendees will not be eligible to vote unless when making decisions on Independent Non-Executive Members' matters:
 - Chair (not to chair meetings nor determine matters pertaining to their conditions)
 - ICB's most senior HR Advisor or nominated deputy
 - Director of Finance or their nominated deputy
 - Chief Executive or their nominated deputy
 - this will avoid Non-Executive Members' remuneration being determined by the committee if membership is entirely Non-Executive Board members
 - the chair of the meeting may ask any or all of those who normally attend, but who are not members, to withdraw to facilitate open / frank discussion of particular matters
 - no individual should be present during any discussion relating to any aspect of their own pay; or any aspect of the pay of others when it has an impact on them
- 8.1.4 The Board may appoint independent members or advisers to the Remuneration Committee who are not members of the Board.
- 8.1.5 The main purpose of the Remuneration Committee is to exercise the functions of the ICB regarding remuneration included in paragraphs 18 to 20 of Schedule 1B to the 2006 Act. The Terms of Reference agreed by the Board are published in the Governance Handbook.
- 8.1.6 The duties of the Remuneration Committee include:
- a) Local points:
- set ICB pay policy (or equivalent) and standard terms and conditions

- make arrangements to pay employees such remuneration / allowances as it may determine
- set remuneration and allowances for members of the Board falling within its remit
- set any allowances for members of committees or sub-committees of the ICB who are not members of the Board
- any other relevant duties

8.1.7 The ICB may make arrangements for a person to be seconded to serve as a member of the ICB's staff.

9. Arrangements for Public Involvement

9.1.1 In line with section 14Z45(2) of the 2006 Act, the ICB has made arrangements to secure that individuals to whom services that are, or are to be, provided pursuant to arrangements made by the ICB in the exercise of its functions, and their carers and representatives, are involved (whether by being consulted or provided with information or in other ways) in:

- a) the planning of the commissioning arrangements by the ICB
- b) the development and consideration of proposals by the ICB for changes in the commissioning arrangements where the implementation of the proposals would have an impact on the manner in which the services are delivered to the individuals (at the point when the service is received by them), or the range of health services available to them
- c) decisions of the ICB affecting the operation of the commissioning arrangements where the implementation of the decisions would (if made) have such an impact.

9.1.2 In line with section 14Z54 of the 2006 Act, the ICB has made the following arrangements to consult its population on its System Plan:

- a) Local arrangements:
 - our communication, engagement and involvement systems and principles, as woven into the fabric of our *Working with People and Communities Strategy 2022-23* (available on the ICB website)
 - used as a 'golden thread' throughout all of our corporate governance structures, systems, processes and procedures and connected to this Constitution, by having:
 - a Community Champion at Board Level (Non-Executive Director)
 - our new 'People Assembly' and 'Virtual People's Panel'
 - our Place Networks, Neighbourhoods and Provider Collaboratives
 - engagement with the two existing Health & Wellbeing Boards
 - strong relationships with ICP Board, Health & Care Senate, VCSE Alliance
 - strong relationships with both Local Authority Scrutiny Committees
 - system and community insight / feedback (including public consultation)

9.1.3 The ICB has adopted the 10 principles set out by NHS England for working with people and communities:

- a) put the voices of people and communities at the centre of decision-making and governance, at every level of the ICS
- b) start engagement early when developing plans, and feed back to people and communities how it has influenced activities and decisions
- c) understand your community's needs, experience and aspirations for health and care, using engagement to find out if change is having the desired effect
- d) build relationships with excluded groups – especially those affected by inequalities
- e) work with Healthwatch and the voluntary, community and social enterprise sector (VCSE) as key partners
- f) provide clear and accessible public information about vision, plans and progress to build understanding and trust
- g) use community development approaches that empower people and communities, making connections to social action
- h) use co-production, insight and engagement to achieve accountable health and care services
- i) co-produce and redesign services and tackle system priorities in partnership with people and communities
- j) learn from what works and build on the assets of all partners in the ICS – networks, relationships, activity in local places.

9.1.4 In addition, the ICB has agreed the following:

- the ICB will work with its partners across the ICS to develop arrangements for ensuring the ICS Partnership and Place-Based Partnerships have representation from local people and communities in priority setting and decision-making forums
- gathering intelligence about the experience and aspirations of people who use care and support, together with clear approaches to using these insights to inform decision making and quality governance

9.1.5 These principles will be used when developing and maintaining arrangements for engaging with people and communities.

9.1.6 These arrangements include:

- a) Local arrangements:
 - engagement arrangements at System or Place provide not just a mechanism for commentary on services, but as a source of genuine co-production and a key tool for supporting accountability and transparency of the system
 - where decision-making affects communities, groups or specific services, these arrangements (including any formal consultation) will fully engage those affected, including populations, patients / carers across Health & Social Care
 - engaging with communities will also happen through relational and strengths-based approaches, drawing on the experience of our Local Authorities, VCSE and other partners with experience and expertise in this regard
 - also by continuation of existing NHS Trust and NHS FT duties in relation to patient and public involvement, including the role of FT Governors

Appendix 1: Definitions of Terms used in this Constitution

2006 Act	National Health Service Act 2006, as amended by the Health and Social Care Act 2012 and the Health and Care Act 2022
ICB board	Members of the ICB
Area	The geographical area that the ICB has responsibility for, as defined in part 2 of this Constitution
Committee	A committee created and appointed by the ICB Board
Sub-committee	A committee created and appointed by and reporting to a committee
Integrated Care Partnership	The joint committee for the ICB's area established by the ICB and each responsible Local Authority whose area coincides with or falls wholly or partly within the ICB's area
Place-Based Partnership (PBPs)	Place-Based Partnerships are collaborative arrangements responsible for arranging and delivering health and care services in a locality or community. They involve the ICB, local government, and providers of health and care services, including the VCSE sector, people and communities, as well as Primary Care provider leadership, represented by Primary Care Network Clinical Directors or other relevant Primary Care leaders.
Ordinary Member	The Board of the ICB will have a Chair and a Chief Executive plus other members. All other members of the Board are referred to as Ordinary Members.
Partner Members	Some of the Ordinary Members will also be Partner Members. Partner Members bring knowledge and a perspective from their sectors and are appointed in accordance with the procedures set out in section 3 having been nominated by the following: <ul style="list-style-type: none"> • NHS Trusts and Foundation Trusts that provide services within the ICB's area and are of a prescribed description • the Primary Medical Services (General Practice) providers within the area of the ICB and are of a prescribed description • the Local Authorities that are responsible for providing social care and whose areas coincide with or include the whole or any part of the ICB's area
Health Service Body	Health Service Body as defined by (a) section 9(4) of the NHS Act 2006 or (b) NHS Foundation Trusts
Health Care Professional	An individual who is a member of a profession regulated by a body mentioned in section 25(3) of the National Health Service Reform and Health Care Professions Act 2002 ;

Appendix 2: Standing Orders

1. Introduction

- 1.1 These Standing Orders have been drawn up to regulate the proceedings of NHS Staffordshire and Stoke-on-Trent Integrated Care Board so that the ICB can fulfil its obligations as set out largely in the 2006 Act (as amended). They form part of the ICB's Constitution.

2. Amendment and Review

- 2.1 The Standing Orders are effective from the date of approval by NHS England.
- 2.2 Standing Orders will be reviewed on an annual basis or sooner if required.
- 2.3 Amendments to these Standing Orders will be made as per clause 1.6 of this Constitution.
- 2.4 All changes to these Standing Orders will require an application to NHS England for variation to the ICB Constitution and will not be implemented until the Constitution has been approved.

3. Interpretation, Application and Compliance

- 3.1 Except as otherwise provided, words and expressions used in these Standing Orders shall have the same meaning as those in the main body of the ICB Constitution and as per the definitions in Appendix 1.
- 3.2 These Standing Orders apply to all meetings of the Board, including its committees and sub-committees unless otherwise stated. All references to Board are inclusive of committees and sub-committees unless otherwise stated.
- 3.3 All members of the Board, members of committees and sub-committees and all employees, should be aware of the Standing Orders and comply with them. Failure to comply may be regarded as a disciplinary matter.
- 3.4 In the case of conflicting interpretation of the Standing Orders, the Chair, supported with advice from the ICB Governance Lead, will provide a settled view, which shall be final.
- 3.5 All members of the Board, its committees and sub-committees and all employees have a duty to disclose any non-compliance with these Standing Orders to the Chief Executive as soon as possible.
- 3.6 If, for any reason, these Standing Orders are not complied with, full details of the non-compliance and any justification for non-compliance and the circumstances around the non-compliance shall be reported to the next formal meeting of the Board for action or ratification and the Audit Committee for review.

4. Meetings of the Integrated Care Board

4.1 Calling Board Meetings

4.1.1 Meetings of the Board of the ICB shall be held at regular intervals at such times and places as the ICB may determine.

4.1.2 In normal circumstances, each member of the Board will be given not less than one month's notice in writing of any meeting to be held. However:

- a) the Chair may call a meeting at any time by giving not less than fourteen (14) calendar days' notice in writing
- b) one-half of the members of the Board may request the Chair to convene a meeting by notice in writing, specifying the matters which they wish to be considered at the meeting. If the Chair refuses, or fails, to call a meeting within seven (7) calendar days of such a request being presented, the Board members signing the requisition may call a meeting by giving not less than fourteen (14) calendar days' notice in writing to all members of the Board specifying the matters to be considered at the meeting
- c) in emergency situations the Chair may call a meeting with two (2) days' notice by setting out the reason for the urgency and the decision to be taken

4.1.3 A public notice of the time and place of meetings to be held in public and how to access the meeting shall be given by posting it at the offices of the ICB and electronically at least three clear days before the meeting or, if the meeting is convened at shorter notice, then at the time it is convened.

4.1.4 The agenda and papers for meetings to be held in public will be published electronically in advance of the meeting, excluding, if thought fit, any item likely to be addressed in part of a meeting that is not likely to be open to the public.

4.2 Chair of a Meeting

4.2.1 The Chair of the ICB shall preside over meetings of the Board.

4.2.2 If the Chair or Vice Chair is absent, or is disqualified from participating by a Conflict of Interest, there may be a Deputy Chair appointed from among the assembled members to temporarily act as deputy during the conflicted item(s).

4.2.3 The Board shall appoint a Chair to all committees and sub-committees that it has established. The appointed committee or sub-committee Chair will preside over the relevant meeting. Terms of Reference for committees and sub-committees will specify arrangements for occasions when the appointed Chair is absent.

4.3 Agenda, Supporting Papers and Business to be transacted

4.3.1 The agenda for each meeting will be drawn up and agreed by the Chair of the meeting.

4.3.2 Except where the emergency provisions apply, supporting papers for all items must be submitted at least seven (7) calendar days before the meeting takes place. The agenda and supporting papers will be circulated to all members of the board at least five (5) calendar days before the meeting.

- 4.3.3 Agendas and papers for meetings open to the public, including details about meeting dates, times and venues, will be published on the ICB's website at <https://staffsstoke.icb.nhs.uk/your-nhs-integrated-care-board/whos-involved/board-meetings/>.

4.4 Petitions

- 4.4.1 Where a valid petition has been received by the ICB it shall be included as an item for the agenda of the next meeting of the Board in accordance with ICB policy as published in the Governance Handbook.

4.5 Nominated Deputies

- 4.5.1 With the permission of the person presiding over the meeting, the Executive Directors and the Partner Members of the Board may nominate a deputy to attend a meeting of the Board that they are unable to attend. The deputy may speak and vote on their behalf and count towards the quorum (including provisions made under section 3.3: removal from office).
- 4.5.2 A deputy could be nominated according to availability on the day of a meeting or according to agenda items under discussion; and would not necessarily have to be the same nominated deputy for the duration of the term of office of the Partner Member. Provided they meet the relevant criteria, and are appropriately experienced, fulfilling all requirements for the role and it has been confirmed with the ICB Chair in advance that they are not disqualified from attending. A deputy attending on behalf of a Partner Member should be at the same level or status as the Member they are deputising for.
- 4.5.3 Board Members must understand the legal accountabilities and liabilities associated with their role, which may not be delegated to a deputy. That means that the "can is carried" by the office holder, not the deputy. The nature of the Unitary Board means that there are potential implications for all Board Members when other members delegate to a deputy.
- 4.5.4 Therefore deputies must be named in writing in advance of the meeting to the ICB Chair. Within which, the deputy's eligibility / disqualification criteria should also be confirmed, to provide assurance to the ICB Chair that the nominated individual fulfils the requirements of the role and is not disqualified. Ad hoc deputy arrangements will not normally be permitted.
- 4.5.5 The decision of the person presiding over the meeting regarding authorisation of nominated deputies is final.

4.6 Virtual Attendance at Meetings

- 4.6.1 The Board of the ICB and its committees and sub-committees may meet virtually using telephone, video and other electronic means when necessary, unless the Terms of Reference prohibit this.
- 4.6.2 This is in light of Covid-19 learnings, to better permit when required ICB meetings functioning well by using technological solutions (phone / video) and still meeting the requirement for a meeting to be held in public. Provision may be made for in extremis circumstances where whole meetings may take place online. The same

minimum numbers as for making up a quorum should be physically present for these.

4.7 Quorum

4.7.1 The quorum for meetings of the Board will be as below, set at just over 50% of the quantum (nine out of seventeen members) with there being an equitable balance to represent that of a Unitary Board, split between proportions of Executive, Non-Executive and Partner Members, including:

- the Chief Executive plus one other Executive Director (from CFO, CTO, CDO)
- either the Medical Director (CMO) or the Director of Nursing & Therapies (CNTO)
- three Independent Members: i.e. Chair or Vice Chair, plus two Non-Executive Members
- three Partner Members: with ideally at least one from each of the three cohorts

4.7.2 For the sake of clarity:

- a) no person can act in more than one capacity when determining the quorum
- b) an individual who has been disqualified from participating in a discussion on any matter and/or from voting on any motion by reason of a declaration of a conflict of interest shall no longer count towards the quorum.

4.7.3 For all committees and sub-committees, the details of the quorum for these meetings and status of deputies are set out in the appropriate Terms of Reference.

4.8 Vacancies and Defects in Appointments

4.8.1 The validity of any act of the ICB is not affected by any vacancy among members or by any defect in the appointment of any member.

4.8.2 In the event of vacancy or defect in appointment the following temporary arrangement for quorum will apply:

- a) Local arrangements (proportions set to reflect those described in 4.7.1 above):
 - the Chief Executive and one other Director, or nominated deputies
 - at least two Independent Non-Executive Members, including the Chair or Vice-Chair
 - at least two Partner Members

4.9 Decision-Making

4.9.1 The ICB has agreed to use a collective model of decision-making that seeks to find consensus between system partners and make decisions based on unanimity as the norm, including working through difficult issues where appropriate.

4.9.2 Generally it is expected that decisions of the ICB will be reached by consensus. Should this not be possible then a vote will be required. The process for voting, which should be considered a last resort, is set out below:

- a) all members of the Board present at a meeting will be eligible to cast one vote each
- b) in no circumstances may an absent member vote by proxy. (Absence is defined as being absent at the time of the vote, but this does not preclude anyone attending by teleconference or other virtual mechanism from participating in the meeting, including exercising their right to vote if eligible to do so)
- c) for the sake of clarity, any additional Participants and Observers (as detailed within paragraph 5.6. of the Constitution) will not have voting rights
- d) a resolution will be passed if more votes are cast for the resolution than against it
- e) if an equal number of votes are cast for and against a resolution, then the Chair (or in their absence, the person presiding over the meeting) will have a second and casting vote
- f) should a vote be taken, the outcome of the vote, and any dissenting views, must be recorded in the minutes of the meeting

Disputes

4.9.3 Where helpful, the Board may draw on third-party support to assist them in resolving any disputes, such as peer review or support from NHS England.

Urgent Decisions

4.9.4 In the case of urgent decisions and extraordinary circumstances, every attempt will be made for the Board to meet virtually. Where this is not possible the following will apply:

4.9.5 The powers that are reserved or delegated to the Board may for an urgent decision be exercised by the Chair and Chief Executive (or relevant Lead Director in the case of committees), subject to every effort having been made to consult with as many members as possible in the given circumstances.

4.9.6 The exercise of such powers shall be reported to the next formal meeting of the Board for formal ratification and the Audit Committee for oversight.

4.10 Minutes

4.10.1 The names and roles of all members present shall be recorded in the minutes of the meetings.

4.10.2 The minutes of a meeting shall be drawn up and submitted for agreement at the next meeting where they shall be signed by the person presiding at it.

4.10.3 No discussion shall take place upon the minutes except upon their accuracy or where the person presiding over the meeting considers discussion appropriate.

4.10.4 Where providing a record of a meeting held in public, the minutes shall be made available to the public.

4.11 Admission of the Public and Press

- 4.11.1 In accordance with Public Bodies (Admission to Meetings) Act 1960, all meetings of the Board and all meetings of committees that are comprised of entirely Board members or all Board members at which public functions are exercised will be open to the public. There is no expectation that Remuneration or Audit Committees need be held in public.
- 4.11.2 The Board may resolve to exclude the public from a meeting or part of a meeting where it would be prejudicial to the public interest by reason of the confidential nature of the business to be transacted or for other special reasons stated in the resolution and arising from the nature of that business or of the proceedings or for any other reason permitted by the Public Bodies (Admission to Meetings) Act 1960 as amended or succeeded from time to time.
- 4.11.3 The person presiding over the meeting shall give such directions as they think fit with regard to the arrangements for meetings and accommodation of the public and representatives of the press such as to ensure that the Board's business shall be conducted without interruption and disruption.
- 4.11.4 As permitted by Section 1(8) Public Bodies (Admissions to Meetings) Act 1960 (as amended from time to time), the public may be excluded from a meeting to suppress or prevent disorderly conduct or behaviour.
- 4.11.5 Matters to be dealt with by a meeting following the exclusion of representatives of the press and other members of the public shall be confidential to the members of the Board.

5. Suspension of Standing Orders

- 5.1 In exceptional circumstances, except where it would contravene any statutory provision or any direction made by the Secretary of State for Health and Social Care or NHS England, any part of the Standing Orders may be suspended by the Chair in discussion with at least two other members (and preferably one being the Independent Non-Executive Members acting as Audit Committee chair).
- 5.2 A decision to suspend the Standing Orders together with the reasons for doing so shall be recorded in the minutes of the meeting.
- 5.3 A separate record of matters discussed during the suspension shall be kept. These records shall be made available to the Audit Committee for review of the reasonableness of the decision to suspend the Standing Orders.

6. Use of Seal and Authorisation of Documents

- 6.1 The ICB is required to have or use a Seal for the following circumstances:
- land transfers, agreements made without consideration, mortgages and charges, certain leases, the appointment and discharge of trustees, gifts of tangible goods, the release of certain rights (such as those under a debt), taking a bill of sale (used as part of lending arrangements where security is taking in the form of a bill of sale transferring items if the borrower falls into default) and powers of attorney

- any periodically-received multi-agency service agreements for which ICB is requested to apply a seal – while this could technically be done by signature, such parties may wish that it is executed as a deed in legal terms

6.2 Arrangements will be made for its safe keeping and authorisation of its use as follows:

a) Local arrangements

- the ICB will use a seal for executing those documents legally defined as necessary
- the following individuals or officers are authorised to authenticate its use to execute a document on behalf of the ICB by their signature:
 - the Chief Executive and Independent Chair
 - the Chief Finance Officer (Director of Finance)
 - Executive Directors, as authorised by financial thresholds set out separately within the Scheme of Financial Delegation (Standing Financial Instructions: SFIs)